

Alignment of EU-China Funding Schemes and Opportunities in the Water Sector

Presentation of the Draft Version of Study



01 Introduction

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Summary of Key Findings 02

03 **Next Steps**











Aim of the Study

- Explore water-related issues of common interest in research and funding between Europe and China.
- Contribute to the alignment of funding opportunities for business, research and innovation between Europe and China in the Water sector.

Contents of the Study

- Overview of the Research Landscape in Europe and China
- Overview of the Water Sector in Europe and China
- · Research and innovation water project trends in Europe and China
- Cooperation research needs and gaps in key areas between Europe and China per research theme
- Recommendations on future cooperation





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Methodological **Approach**









- A sample of more than 250 water-related R&I projects funded under FP7, H2020, and NSFC programmes was examined and analyzed, including EU-China collaborative projects under FP7 and H2020.
- Search on Chinese National Knowledge Infrastructure database, ScienceNet and CORDIS database for each of the four themes using the following key words:
 - Management Ecological Security: 'Water Water and Management Ecolog';
 - Rural Water and Food Security: 'Rural Water Food';
 - Water and Urbanisation: 'Water Urbanisation';
 - Water and Energy Security: 'Water Energy'.

Areas	Number of projects retrieved with Key words	Relevant hits
Water Management and Ecological Security	222	118
Rural Water and Food	126	53
Water and Urbanisation	212	55
Water and Energy	726	76







Overall H2020 and FP7 project trends

- Total of 2116 organizations were involved.
- Highest participation was observed from **Business organizations** (35%), followed by **Research organizations** (25%), Higher education establishments (24%) and Public organizations (8%).
- Organizations from all 27 Member States have been involved in projects, with the highest number of organizations from **Spain**, **Germany and Netherlands** (Figure 2).

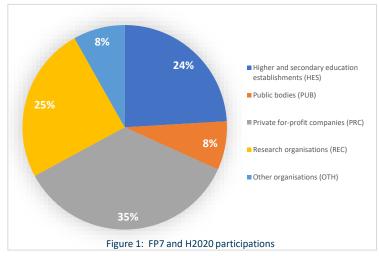




Figure 2: Participation of organizations from EU-27







Overall H2020 and FP7 project trends

Business Involvement

- For projects funded under FP7 programme, the total share of Business participation represents 39%, while for projects funded under H2020 is 32%.
- **Business** members are **coordinators in 25%** of projects within the total sample.
- The most frequent topic (from a total of 108) was H2020-SME Support Instrument SMEInst-2018-2020-1.

In the past 10 years, the water R&I was mostly funded by the following programmes:

- H2020 –Societal Challenge/Climate Env, Resource Efficiency and Raw Materials
 23% 38 projects
- FP7 Environment Cooperation 20% 30 projects
- H2020 Industry leadership Leadership in enabling and industrial technologies
 (12% 18 projects)







Overall H2020 and FP7 project trends

- Budget value of the projects funded under H2020 was 25% higher compared to the projects funded under FP7.
- Average EU contribution per project was 84% of the total budget.
- The average duration of the project was 39 months.

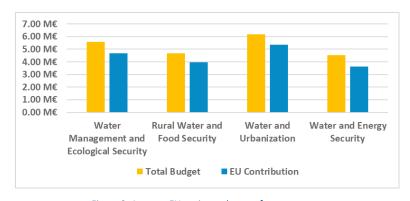


Figure 3: Average EU project value per focus area

From the identified H2020 and FP7 projects, the highest project average funding was related to the Water and Urbanization and the Water Management and Ecological Security research themes (Figure 3).

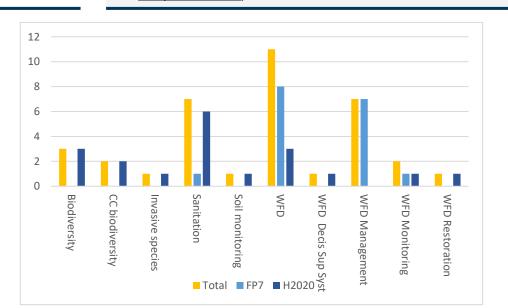






Water Management and Ecological **Security**

- Majority of projects were dedicated to WFD support (particularly management) and sanitation (to address water quality problems).
- In FP7, more projects were targeted to applied research to design water and river basin management problems to implement WFD.
- In H2020, the majority of research projects were target to concrete problems arising from WFD implementation (this being improvement of restoration practices, decision support tools, monitoring, biodiversity continuum and ecosystem services).



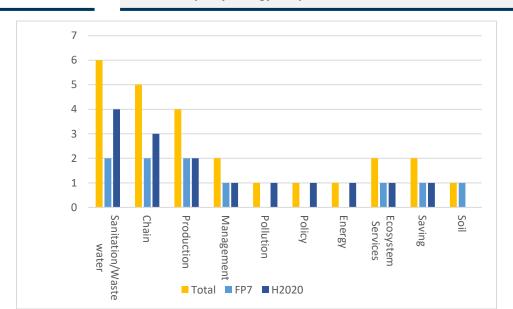






Rural Water and Food Security

- Majority of projects were dedicated to sanitation (to address water quality problems) and water supply chain management.
- H2020 and FP7 projects were targeted towards the same areas of R&I.
- However, H2020 projects have a broader research domain and including areas such as policy, energy and pollution.



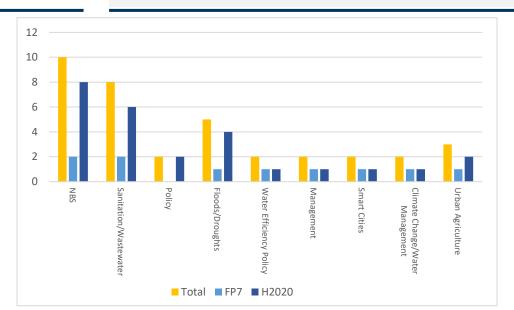






Water and Urbanization

- Majority of projects are dedicated to nature-based solutions (NBS) (to protect, manage and restore water resources) and sanitation (to address water quality problems).
- With the exception of policy development projects, the majority of both **H2020** and **FP7** projects were targeted towards the same areas of R&I.
- H2020 projects had a broader research domain and included more projects in the areas of floods/droughts and urban agriculture.



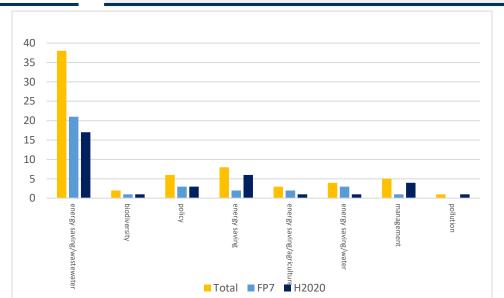






Water and Energy

- Majority of projects were dedicated towards energy saving (79%).
- H2020 and FP7 projects targeted primarily the same areas of R&I.
- H2020 projects had a broader research domain, including more projects focused on energy saving together with other, crosscutting issues (wastewater, agriculture, water).
- **Disclaimer:** a significant number of water related energy production (production of hydrogen from water) projects was identified, however, these did not enter the analysis since no CEWP partners work currently in these topics.









Overall NSFC project trends

- The average budget allocated for each organization was EUR 278,275.
- In total, the identified projects were implemented by 47 research organizations, of which 24 organizations (51%), implemented more than one project.

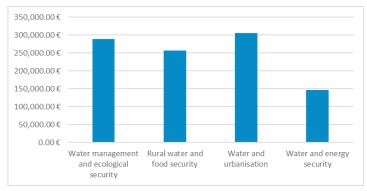


Figure 3: Average NSFC project value per focus area

From the identified NSFC projects, the highest project average funding was related to the Water and Urbanization and the Water Management and **Ecological Security** research themes (Figure 3).





Overall NSFC project trends

- In total, the projects were funded under 45 different topics. The most common topics were:
 - Engineering hydrology and water resources use
 - Water and mineral resources
 - Surface water environment
- The average project duration was 52 months.

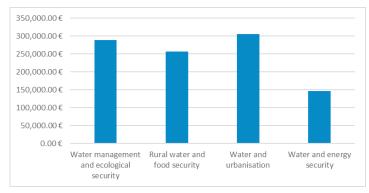


Figure 4: Average NSFC project duration per focus area

 From the identified NSFC projects, the longest project average duration was related to the Water and Urbanization and the Water Management and Ecological Security research themes (Figure 4).







EU-China collaborative project trends

- Average EU contribution was 90% of the total budget*.
- The average project duration was 52 months, with the longest project having a duration of 60 months and the shortest project having a duration of 36 months.

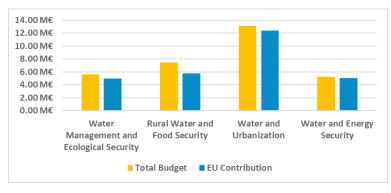


Figure 5: Average EU-China collaborative project value per focus area

 In the projects where Chinese participants were involved, the highest project average funding was related to the Water and Urbanization and the Rural Water and Food Security research themes (Figure 5).







EU-China collaborative project trends

- The most common topic among of the sample of projects was "SCC-02-2016-2017 - Demonstrating innovative nature-based solutions in cities".
- The highest number of projects was funded under the programme:
 H2020 3.5. SOCIETAL CHALLENGES Climate action,
 Environment, Resource Efficiency and Raw Materials.

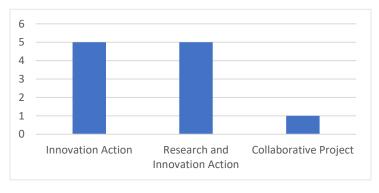


Figure 6: Typology of projects

 With regards to the funding schemes, most of the projects consisted of activities related to the Research and Innovation Action and Innovation Actions (Figure 6).

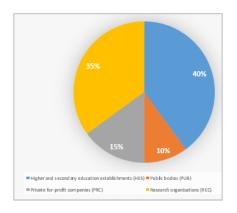


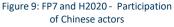




EU-China collaborative project trends

- On average, two Chinese partners were involved in one EU-China collaborative project.
- Organizations from 22 EU-27 Member States have been involved in the identified projects, with the highest number of organizations from Spain, Italy and Netherlands (Figure 7).
- The highest number of organizations from China participated in projects related to **Water Management and Ecological Security** research theme.
- The highest participation among Chinese organisations was observed among Higher Education Establishments and Research Organisations (Figure 9).





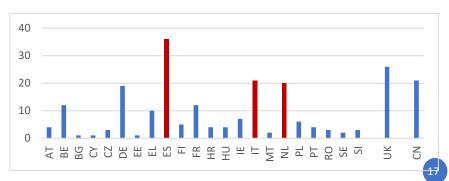


Figure 7: Participation in the EU-China collaborative projects per country





Overall outcomes of the Study

- Common areas of interest between EU and China with links to key policy objectives and priorities of:
 - a) The 15-year medium to long term S&T programme
 - b) EU Green Deal Policy Areas
 - c) Horizon Europe Clusters and Intervention Areas and
 - d) EU-China Strategic Outlook priorities.
- Relevant funding schemes in the context of Horizon Europe and the upcoming 14th FYP.
- Good practice examples of the EU-China collaboration.
- Important trends and considerations regarding EU and Chinese funded water R&I projects.
- Cooperation research needs and gaps in key areas between Europe and China per research theme.





Observations

- Intra-European R&I collaboration in the field of water primarily relate to the Water and Urbanization
 and Water Management and Ecological Security research themes. This finding demonstrates EU
 internal knowledge needs in these areas, that cooperation with China could jointly address, taking
 advantage of the rapidly upscale Chinese capacity and of ICT front runner knowledge.
- Water Management and Ecological Security is the area where the higher number of Chinese
 partners are involved in, clearly demonstrating the Chinese research needs in these areas and the
 recognition of European front-runner knowledge. A cooperation area clearly in line with UN
 decade of Restoration 2020-2030.
- The nature of project cooperation was cross sectorial and multidimensional. In some cases, the
 cooperation aimed towards the development of novel technologies and solutions for the water
 sector, while in other cases the goal was to further explore the modalities and opportunities for the
 future R&I cooperation in the sector.





Observations

- H2020's SC5 and SC2 funding programmes and their successors, Cluster 6 and Cluster 5 from Horizon Europe, are aligned with the priorities of China's funding schemes within the water sector as seen under the 13th FYP and initial indications of the 14th FYP (MoWR first call).
- Multi-stakeholder, integrative and cross-sectorial approach to the identification and implementation of solutions in the water sector, such as the proposed Water4All initiative under Horizon Europe, can provide for a strong impetus for the EU-China collaboration, offering the possibility for more frequent contacts between the actors, and supporting in further joint identification of new projects.
- **Key areas of the EU and China water sectors** under current funding schemes and the funding schemes to be established for the next period to initiate in 2021:
 - Climate Action (water conservation)
 - Environment (ground water pollution, urban water bodies management)
 - Resource Efficiency (management of water resources)
 - Water security (energy production, preservation of ecosystems)
 - Sustainable agriculture (irrigation innovations, animal waste management)





Key Remarks

Organizations from China received EU contribution in all identified FP7 project and only in one H2020 projects, which highlight the need for better coordination between Europe and China supporting system for research projects that will be entering competitive calls under Horizon Europe which may lack Chinese funding, deeply impacting implementation capacity of projects and having cascading effects on the whole partnership and project objetives.





Key Remarks

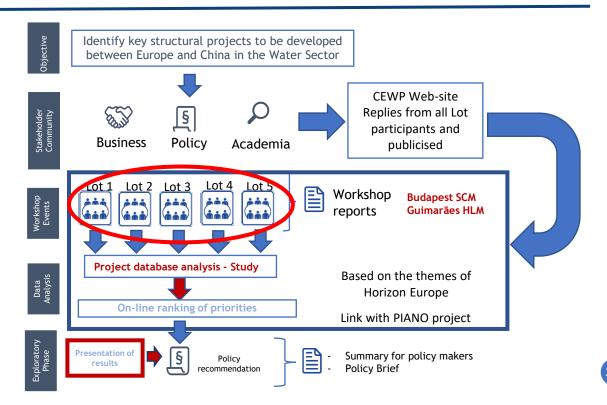
• The lack of international cooperation projects between EU-China may have long term impacts on the relative performance of European R&I systems, since this is an entry port for future economic relations, when compared to US," furthermore the cooperation projects assist the development of Chinese framework conditions that may provide a competitive advantage to EU business*.







Methodology recap







Final version of the Inventory Study



- **Objective:** To develop the final version of the Inventory Study.
- Format: To take completed in June 2021.
- Content: Based on the outputs from the round table discussions, develop further recommendations for the alignment of EU-China funding programmes.
- Communication strategy: Summary for policy makers and policy brief.
- Target groups: CEWP Secretariat; DG Research; DG Environment, EU Del in Beijing; EEAS; Member State Permanent Representations in Brussels; Member States Embassies in China; Relevant member State representatives in Horizon Europe Board.



Funding Opportunities Horizon Europe and Water4All Initiative

Alignment of EU-China Funding Schemes and Opportunities in the Water Sector



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01 Funding opportunities in International Cooperation

02 Funding opportunities in General Horizon Europe Work programme

Funding Opportunities for Business in European Innovation Council

04 Funding Opportunities though European Partnerships







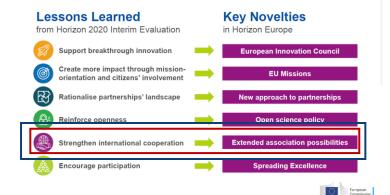
01 Funding opportunities in International Cooperation **Sp**







- Raise awareness of CEWP stakeholder community through:
 - Alignment of funds dedicated to **International Cooperation**





01 Funding opportunities in International Cooperation Sp







International Cooperation

International Cooperation

Tackling together global societal challenges; access to the world's best talents, expertise and resources; enhanced supply and demand of innovative solutions

Association to Horizon Europe

- Third countries with good capacity in science, technology and innovation
- Taking into account objective of driving economic growth in Europe through innovation
- Intensified targeted actions
- Strengthened support to multilateral cooperation
- Openness to international participation balanced with the promotion of EU strategic autonomy

https://ec.europa.eu/info/sites/info/files/research_and_innovation/funding/presentations/ec_rtd_he-investing-to-shape-our-future.pdf







- Raise awareness of CEWP stakeholder community through:
 - Participation in 2021-2022 Horizon Europe Work Programme, through project submission!













TOPIC		TYPE	Budget (M€)	Number of proposals	DEADLINE	TITLE	Relevant CEWP Area
CL5-2021-I	D2-01-08	RIA	2,5	8	19/out	Emerging technologies for a climate neutral Europe	Water and Energy Security
CL5-2021-I	D2-01-11	RIA	2-3	2	19/out	Direct atmospheric carbon capture and conversion	Water Management and Ecological Security; Water and Energy Security
CL5-2021-D3-01-15		RIA	10-15	2-3	26/ago	Cost reduction of CO2 capture (new or improved technologies)	Water Management and Ecological Security; Water and Energy Security
CL5-2021	-D1-01-02	RIA	5		07/set	Modelling the role of the circular economy for climate change mitigation	Water Management and Ecological Security; Water and Energy Security
		RIA	6 to 7	3	07/set	Restoration of natural wetlands, peatlands and floodplains as a strategy for fast mitigation benefits; pathways, trade-	Water Management and Ecological Security; Rural Water and Food Security;
	-D1-01-08				101 .	offs and co-benefits	Water and Energy Security
	-D2-01-08 -D2-01-11	RIA	2,5 2 to 3	8 2	19/out 19/out	Emerging technologies for a climate neutral Europe Direct atmospheric carbon capture and conversion	Water and Energy Security Water and Energy Security
	-D2-01-11 -D3-02-02	RIA	3	8	05/jan	Next generation of renewable energy technologies	Water and Energy Security Water and Energy Security
	ZEROPOLLUTION-01-03	RIA	2-4	2-5	01/set	Preventing and managing diffuse pollution in urban water	Water Management and Ecological Security; Water and Urbanisation
	I-BIODIV-01-18	RIA	2 to 3	3	01/set	Policy mixes, governance (including financing) and decision-making tools for transformative action for biodiversity	Water Management and Ecological Security
CL6-2021	-FARM2FORK-01-17	RIA	6	3	01/set	One health approach for Food Nutrition Security and Sustainable Agriculture (FNSSA)	Rural Water and Food Security
	-ZEROPOLLUTION-01-10	RIA	5,5	2	01/set	Environmental services: improved bioremediation and revitalization strategies for soil, sediments and water	Water Management and Ecological Security; Rural Water and Food Security
HORIZON 01-two-sta	N-CL6-2022-GOVERNANCE-02- age	RIA	10	3	01/set	Water governance, economic and financial sustainability of water systems	Water Management and Ecological Security
CL6-2021	-CLIMATE-01-01	RIA	3 to 5	2	01/set	Improved understanding, observation and monitoring of water resources availability	Water Management and Ecological Security; Rural Water and Food Security; Water and Urbanisation; Water and Energy Security
CL6-2021	-FARM2FORK-01-11	RIA	11	1	01/set	Filling knowledge gaps on nutritional, safety, allergenicity and environmental assessment of alternative proteins and dietary shift	Rural Water and Food Security
CL6-2021	-GOVERNANCE-01-13	RIA	5	4	02/set	Modelling land use and land management in the context of climate change	Water Management and Ecological Security; Rural Water and Food Security
CL6-2021	-GOVERNANCE-01-14	RIA	3 to 5	4	02/set	User-oriented solutions building on environmental observation to monitor critical ecosystems and	Water Management and Ecological Security
CL5-2022	2-D3-03-06	RIA	3 to 5	2	10/jan	Efficient and low-emission technologies for industrial use of combustion and gasification systems from low-value biogenic residues and wastes	Water Management and Ecological Security; Rural Water and Food Security; Water and Urbanisation; Water and Energy Security
CL5-2022	2-D1-02-05	RIA	8 to 9	2	?	Let nature help do the job: Rewilding landscapes for carbon sequestration, climate adaptation and biodiversity support	Water Management and Ecological Security
CL5-2022	2-D3-03-02	RIA	3	3	10/jan	Best international practice for scaling up sustainable biofuels	Water Management and Ecological Security; Water and Energy Security
CL5-2022	2-D3-03-03	RIA	3 to 5	2	10/jan	Efficient and circular artificial photosynthesis	Water Management and Ecological Security; Water and Urbanisation; Water and Energy Security
CL6-2022	2-BIODIV-01-07	RIA	3	4	15/fev	Assessing the nexus of extraction, production, consumption, trade and behaviour patterns and of climate change action on biodiversity in the context of transformative change	Water Management and Ecological Security; Rural Water and Food Security; Water and Urbanisation; Water and Energy Security
CL6-2022	2-FARM2FORK-01-06	RIA	5	2	15/fev	Integrated and sustainable freshwater bioeconomy: Combining aquaculture, biodiversity preservation, biotechnology and other uses	Rural Water and Food Security
CL6-2022	2-CircBio-02-04-two-stage	IA	6	2	15/fev	Sustainable biodegradable novel biobased plastics: innovation for sustainability and end-of-life options of plastics	Water Management and Ecological Security; Water and Urbanisation
CL6-2022	2-ZEROPOLLUTION-01-04	RIA	2 to 4	4	15/fev	Securing drinking water quality by protecting water sources against pollution, providing innovative monitoring and treatment solutions and ensuring safe distribution	Water Management and Ecological Security; Rural Water and Food Security; Water and Urbanisation
CL6-2022	2-COMMUNITIES-01-05	RIA	6	2	15/fev	Assessing the socio-politics of naturebased solutions for more inclusive and resilient communities	Water Management and Ecological Security; Rural Water and Food Security; Water and Urbanisation
CL6-2022 stage	2-COMMUNITIES-02-02-two-	RIA	6	3	15/fev	Developing nature-based therapy for health and well-being	Water Management and Ecological Security; Rural Water and Food Security
HORIZON	N-CL6-2022-ZEROPOLLUTION- 01-01	RIA	12	3-6	15/fev	Preventing groundwater contamination and protecting its quality against harmful impacts of global and climate change	Water Management and Ecological Security; Rural Water and Food Security
HORIZON 01-03	N-CL6-2022-ZEROPOLLUTION-	IA	12	2	15/fev	EU China international cooperation on nature based solutions for nutrient management	Water Management and Ecological Security; Rural Water and Food Security







Participating organization	
Expertise	
Call	Role in the call

Follow up mail with dedicated survey







- Raise awareness of CEWP stakeholder community by:
 - Participating in 2021-2022 Horizon Europe Work Programme, through project submission!

















European Innovation Council

Support to innovations with breakthrough and disruptive nature and scale up potential that are too risky for private investors (70% of the budget earmarked for SMEs)

European Innovation Council – a one-stop-shop

- Helping researchers and innovators create markets of the future, leverage private finance, scale up their companies
- Innovation centric, risk taking & agile, pro-active management and follow up
- Mostly 'bottom up', but also targeting strategic challenges
- EIC Programme Managers to develop visions for breakthroughs and steer portfolios

Complementary instruments bridging the gap from idea to market

PATHFINDER

R&I grants (from early technology to proof of concept)

TRANSITION

R&I grants (proof of concept to pre-commercial)

ACCELERATOR

Grants & investment (via EIC Fund) for single SMEs & start-ups (from pre-commercial to market & scale-up)



https://ec.europa.eu/info/sites/info/files/research_and_innovation/funding/presentations/ec rtd he-investing-to-shape-our-future.pdf









+	Legislation
	Work programme & call documents
•	2021-2022
	HE Main Work Programme 2021-2022
	HE and Euratom Work Programme on Horizontal Expenditure 2021-2022
	EIC Work Programme 2021
	EIC Work Programme 2021
	Call for prizes – Rules of Contest The European Capital of Innovation Awards (iCapital) HORIZON-EIC-2021-iCa.
	Call for prizes – Rules of Contest EU Prize for Women Innovators HORIZON-EIC-2021-WomenInnovatorsPrize
	FRC Work Programme 2021

Type of action		Type of MGA	Open for submission
HORIZON-EIC HORIZON E	EIC Grants	HORIZON Action Grant Budget- Based [HORIZON-AG]	
Deadline model	Opening date	Deadline date	
single-stage	08 April 2021	19 May 2021 17:00:00 Brussels time	
Topic description			



EUROPE 04 Funding Opportunities though European Partnership Sp







- Raise awareness of CEWP stakeholder **community** by:
 - Participating in 2021-2022 Horizon Europe Work Programme, through project submission!

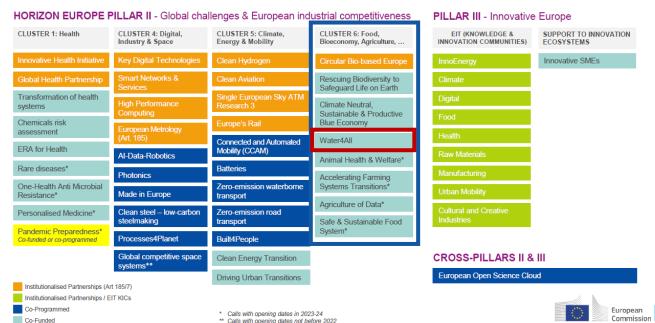


04 Funding Opportunities though European Partnership Sp





Overview of 49 candidate European Partnerships





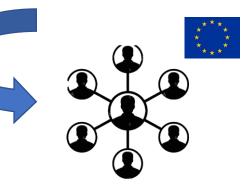
04 Funding Opportunities though European Partnership Sp



HORIZON-CL6-2021-CLIMATE-01-02: European Partnership Water Security for the Planet (Water4All)

Specific conditions					
Expected EU contribution per project	The EU estimates that an EU contribution of around EUR 20.00 million would allow these outcomes to be addressed appropriately. Nonetheless, this does not preclude submission and selection of a proposal requesting different amounts.				
Indicative budget	The total indicative budget for the topic is EUR 20.00 million.				
Type of Action	Cofund Actions				
Total indicative budget	The total indicative budget for the topic is EUR 126 million committed in annual instalments over the 7 years, 2021-2027 (EUR 10 million from the 2021 budget and EUR 10 million from the 202 budget).				

Expected Outcome: In support of the European Green Deal and EU water-related policies, the successful proposal will contribute to foster the adaptation of water resources to climate change, contributing therefore to the Destination 5 impact "Advance the understanding and



2.3.4 International cooperation and water diplomacy

Water4All also allows development of strong and efficient international cooperation required to address complex water challenges and also supports achieving inclusiveness within European MS (EC contribution facilitating the participation of EU13 by reducing the transaction costs for all actions, allowing differentiated distributions of top-up, or supporting participation costs, to key events and meetings).

At the same time, more than any other region in the World, Europe boasts a wealth of experience in collaboration, innovation and the creation of proven solutions in addressing past and current water challenges. Therefore, this new partnership will be important to strengthen water diplomacy and maintain the EU's leading role as a global actor by supporting regional and international cooperation, to address water as a path to achieving the UN SDGs. By placing the engagement of civil society at the centre of its action, the partnership expects to deliver true progress and leverage research and innovation to generate green growth. Water4All will deliver sustainable financing opportunities and create more impacts by accelerating the application of research results for policy implementation.

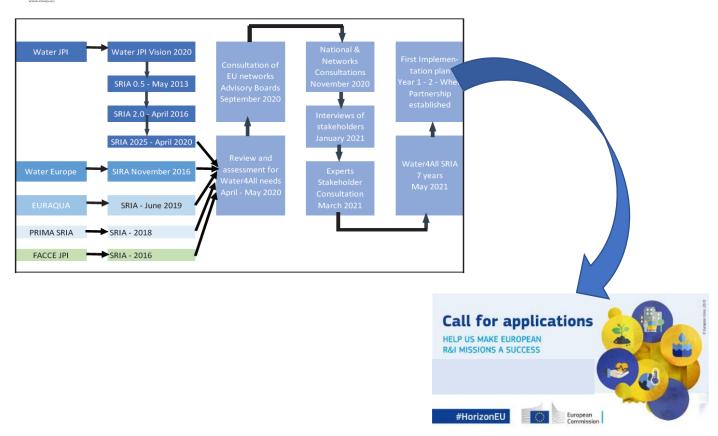
In essence, international cooperation in RDI programming is complex and requires a monitoring, evaluation and learning process and related adaptation, flexibility and variable geometry interventions. Moreover, a European Partnership could help leverage cooperation at the global scale, helping to find solutions to many of the global water issues that lie outside of Europe. This will strengthen the EU's global role, develop science diplomacy and strengthen linkages to national programmes on international cooperation.

http://www.waterjpi.eu/resources/newsletter/copy_of_2019/news-june-2020/water4all-partnership-candidate-for-horizon-europe



04 Funding Opportunities though European Partnership Sp





Thank you!

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